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# NOTICE OF ALLOWANCE AND FEE(S) DUE

22919

7590

07/09/2008

GLOBAL IP COUNSELORS, LLP 1233 20TH STREET, NW, SUITE 700 WASHINGTON, DC 20036-2680 EXAMINER

TRIEU, THERESA

ART UNIT PAPER NUMBER

3748 DATE MAILED: 07/09/2008

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
|                 |             |                      |                     |                  |

10/581,349 06/02/2006 Masanori Masuda DK-US065117 4989

TITLE OF INVENTION: SCROLL COMPRESSOR HAVING A POSITION ADJUSTMENT DEVICE URGING THE MOVABLE SCROLL TOWARDS THE STATIONARY SCROLL BY MOVING A SEAL FORMED BETWEEN A SUPPORT AND THE MOVABLE SCROLL

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO           | \$1440        | \$300               | \$0                  | \$1740           | 10/09/2008 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

### PART B - FEE(S) TRANSMITTAL

### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

| appropriate. All further indicated unless correcte maintenance fee notifical  | correspondence includir<br>ed below or directed oth  | ng the Patent, advar<br>nerwise in Block 1,                   | by (a) spec                              | and notification of meifying a new corresponding                               | naintenance fees wi<br>pondence address;                          | ill be i<br>and/or        | mailed to the current (b) indicating a sepa  | correspondent<br>rate "FEE AD                       | te address as<br>DDRESS" for                 |
|---|--|---|--|--|---|---------------------------|--|---|--|
| CURRENT CORRESPONDI   | ENCE ADDRESS (Note: Use Bl   | ock 1 for any change of ad                                    | dress)                                   | Fee(   | s) Transmittal. This  | certif                    | can only be used for<br>icate cannot be used for<br>such as an assignment<br>ling or transmission.                         | or anv other ac                                     | ccompanying                                  |
| 1233 20TH STR   | 7590 07/09<br>COUNSELORS, L<br>EET, NW, SUITE 7<br>J, DC 20036-2680  | LP  |  |  | Cert  | ificate                   | of Mailing or Transa<br>) Transmittal is being<br>ficient postage for firs<br>ISSUE FEE address<br>1) 273-2885, on the day | nission   |  |
|   |  |   |  |  |   |                           |  | (D  | epositor's name)                             |
|   |  |   |  |  |   |                           |  |   | (Signature)                                  |
|   |  |   |  |  |   |                           |  |   | (Date)                                       |
| APPLICATION NO.   | FILING DATE  |   | FIRST                                    | NAMED INVENTOR   | R ATTORNEY DOCKET NO.   |                           | CONFIRMA'  | TION NO.  |  |
| 10/581,349  | 06/02/2006   |   |  | Iasanori Masuda  |   |                           | OK-US065117  | 498   | 9  |
| ITTLE OF INVENTION<br>IHE STATIONARY SC   |  |   |  |  |   |                           |  | WARDS   |  |
| APPLN. TYPE   | SMALL ENTITY   | ISSUE FEE DUE   | PUBI                                     | LICATION FEE DUE   | PREV. PAID ISSUE  | FEE                       | TOTAL FEE(S) DUE   | DATE  | E DUE  |
| nonprovisional  | NO   | \$1440  |  | \$300  | \$0   |                           | \$1740   | 10/09   | 9/2008                                       |
| EXAM  | INER   | ART UNIT  | CI                                       | ASS-SUBCLASS   |   |                           |  |   |  |
| TRIEU, T  | TRIEU, THERESA 3748  |   |  | 418-055200   |   |                           |  |   |  |
| <ol> <li>Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).</li> <li>Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.</li> <li>□ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.</li> <li>ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED OF CORRESPONDENCE.</li> </ol> |  |   | nce or (2) reg 2 r list                  | registered attorneys or agents. If no name is listed, no name will be printed. |   |                           |  | City Con  |  |
| recordation as set forti<br>(A) NAME OF ASSIG   | h in 37 CFR 3.11. Comp   | oletion of this form i  | s NOT a sul<br>(B) F                     | bstitute for filing an a   | and STATE OR CO   | OUNT                      | RY)  |   |  |
|   |  |   | 4b. Payı                                 | ment of Fee(s): (Please<br>check is enclosed.<br>ayment by credit care         | se first reapply and  | y prev                    | iously paid issue fee sched.   | shown above)  |  |
| a. Applicant claim  | tus (from status indicated<br>s SMALL ENTITY statu<br>d Publication Fee (if req<br>records of the United Sta | uired) will not be ac   | cepted from                              | anyone other than th   |   |                           | TTY status. See 37 CI  |   |  |
|   |  |   |  |  | _   |                           |  |   |  |
| Authorized Signature  Typed or printed name   |  |   |  |  |   |                           |  |   |  |
| This collection of inform<br>an application. Confident<br>submitting the completed<br>his form and/or suggesti<br>Box 1450, Alexandria, V   | tiality is governed by 35<br>1 application form to the<br>ions for reducing this but                         | U.S.C. 122 and 37<br>USPTO. Time will<br>rden, should be sent | CFR 1.14. '<br>vary deper<br>to the Chie | This collection is esting<br>ading upon the indivi-<br>of Information Office   | mated to take 12 m<br>idual case. Any cor<br>r. U.S. Patent and T | inutes<br>nment<br>Tradem | to complete, including on the amount of tire ark Office, U.S. Depart   | g gathering, pa<br>ne you require<br>artment of Cor | reparing, and<br>to complete<br>nmerce, P.O. |

Alexandria, Virginia 22313-1450.

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|-----------------|-------------------|-------------------------|---------------------|------------------|--|
| 10/581,349      | 06/02/2006        | Masanori Masuda         | DK-US065117         | 4989             |  |
| 22919 75        | 590 07/09/2008    |                         | EXAM                | INER             |  |
| GLOBAL IP CO    | UNSELORS, LLP     | TRIEU, THERESA          |                     |                  |  |
|                 | ET, NW, SUITE 700 | ART UNIT PAPER NUMBER   |                     |                  |  |
| WASHINGTON, I   | DC 20036-2680     | 3748                    |                     |                  |  |
|                 |                   | DATE MAILED: 07/09/2008 |                     |                  |  |

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

|  | Application No.   | Applicant(s)   |  |  |  |  |
|--|---|--|--|--|--|--|
|  | 10/581,349  | MASUDA, MASANORI   |  |  |  |  |
| Notice of Allowability   | Examiner  | Art Unit   |  |  |  |  |
|  | Theresa Trieu   | 3748   |  |  |  |  |
|  |   |  |  |  |  |  |
| The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT Report of the Office or upon petition by the applicant. See 37 CFR 1.313 | (OR REMAINS) CLOSED in this a or other appropriate communication IGHTS. This application is subject | pplication. If not included on will be mailed in due course. <b>THIS</b> |  |  |  |  |
| 1. $\boxtimes$ This communication is responsive to <u>the applicant's amend</u>  | dment filed on March 24, 2008 .   |  |  |  |  |  |
| 2. The allowed claim(s) is/are <u>1 and 3-6</u> .  |   |  |  |  |  |  |
| <ul> <li>3.  Acknowledgment is made of a claim for foreign priority ur</li> <li>a)  All b)  Some* c)  None of the:</li> <li>1.  Certified copies of the priority documents have</li> </ul>   |   |  |  |  |  |  |
| 2.   Certified copies of the priority documents have   | e been received in Application No.  |  |  |  |  |  |
| 3.   Copies of the certified copies of the priority do   | cuments have been received in thi   | s national stage application from the                                    |  |  |  |  |
| International Bureau (PCT Rule 17.2(a)).   |   |  |  |  |  |  |
| * Certified copies not received:   |   |  |  |  |  |  |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.  THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.                                       |   |  |  |  |  |  |
| 4. A SUBSTITUTE OATH OR DECLARATION must be subm<br>INFORMAL PATENT APPLICATION (PTO-152) which give   |   |  |  |  |  |  |
| 5. CORRECTED DRAWINGS (as "replacement sheets") mus  | st be submitted.  |  |  |  |  |  |
| (a) I including changes required by the Notice of Draftspers   | son's Patent Drawing Review ( PT0   | O-948) attached  |  |  |  |  |
| 1) 🗌 hereto or 2) 🔲 to Paper No./Mail Date   |   |  |  |  |  |  |
| (b) ☐ including changes required by the attached Examiner's<br>Paper No./Mail Date   |   |  |  |  |  |  |
| Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t   |   |  |  |  |  |  |
| 6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT  |   |  |  |  |  |  |
|  |   |  |  |  |  |  |
| Attachment(s) 1. ☐ Notice of References Cited (PTO-892)  | 5. ☐ Notice of Informal   | Patent Application   |  |  |  |  |
| 2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)   | 6. ☐ Interview Summar   | • •  |  |  |  |  |
| Paper No./Mail Date  ☐ Information Disclosure Statements (PTO/SB/08),  7. ☒ Examiner's Amendment/Comment   |   |  |  |  |  |  |
| Paper No./Mail Date  4.  | 8. 🛛 Examiner's Stater  | nent of Reasons for Allowance  |  |  |  |  |
| or biological material   | 9.  |  |  |  |  |  |
|  | /Theresa Trieu/<br>Primary Examiner, Art Ur   | nit 3748   |  |  |  |  |

Application/Control Number: 10/581,349 Page 2

Art Unit: 3748

#### **EXAMINER'S AMENDMENT**

This Office Action is responsive to the applicant's amendment filed on March 24, 2008.

Claims 1, 3, 4 and 5 have been amended. Claims 2 and 7-10 have been canceled. Thus,

claims 1 and 3-6 are pending in this application.

## Allowable Subject Matter

1. Claims 1 and 3-6 are allowed.

2. The following is an examiner's statement of reasons for allowance:

- Regarding claim 1: the prior art fails to disclose or render obvious the claimed

combination including: a seal arranged between the support and the second scroll; the seal

hermetically contacting the end plate of the second scroll such that a back pressure space for

bringing the first scroll and the second scroll into contact with each other is defined inside the

seal with the first and second scrolls being engaged, and the position adjustment device changing

a position of the seal between a sealing position at which the seal hermetically contacts the end

plate of the second scroll and a leakage position at which the seal is separated from the end plate

of the second scroll to place the second scroll in a non-compression position.

- Regarding claim 5: In the amendment filed on March 24, 2008, dependent claim 3 has

been rewritten in independent form including all the limitations of the base claim and any

intervening claim; therefore, claim 3 is allowed.

Any comments considered necessary by applicant must be submitted no later than the

payment of the issue fee and, to avoid processing delays, should preferably accompany the issue

fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for

Allowance."

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Art Unit: 3748

Communication

Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Theresa Trieu whose telephone number is 571-272-4868. The

examiner can normally be reached on Monday-Friday 8:30am- 5:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Thomas E. Denion can be reached on 571-272-4859. The fax phone number for the

organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about the PAIR

system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR

system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would

like assistance from a USPTO Customer Service Representative or access to the automated

information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

TT July 3, 2008 /Theresa Trieu/ Primary Examiner, Art Unit 3748